

FOI 9334- Serious Incidents and Incident Reporting

1) The number of Serious Incidents declared for the last five financial years and for 2023/24 so far - by year and type

MONTH & YEAR (1 April – 31 March)	NUMBER	TYPE (StEIS's SIs)
18/19	35	Alleged abuse (adult) Alleged abuse (child) IG breach Diagnostics Infection control Major incident Maternity – baby only Medication Pressure ulcer Sub-optimal care Surgical invasive procedure Treatment delay
19/20	92	Alleged abuse (adult) Alleged abuse (child) IG breach Diagnostics Environmental Infection control Major incident Maternity – baby only Medical equipment Medication Treatment given without consent Pressure ulcer Sub-optimal care Surgical invasive procedures Treatment delays
20/21	93	Alleged abuse (patient) Alleged abuse (child) Diagnostics Infection Control Medication Pending Review Pressure ulcer Screening issues Slip, trips and falls Sub-optimal care Treatment delay
21/22	81	Alleged abuse (adult) Self-harm (actual or suspected self-inflicted harm) Diagnostics Infection control Maternity – baby only Maternity – mother and baby Maternity – mother

		Medication Pressure Ulcers Slip, trips and falls Sub-optimal care Surgical invasive procedures Treatment delay
22/23	93	Alleged abuse (adult) Self-Harm (actual or suspected self-inflicted harm) Diagnostics Environmental Infection Control Major Incident Maternity - Baby only Maternity – Mother and Baby Maternity – mother only Medication Pressure Ulcer Slip, trips and falls Sub-optimal care Surgical invasive procedures Treatment Delay
1 st April 23 – 30 th Oct 23	55	Alleged abuse (adult) Alleged abuse (child) Diagnostics Maternity – baby only Maternity – baby and mother Maternity – mum only Pressure ulcers Sub-optimal care Surgical invasive procedure Treatment Delay

2) The date of Serious Incident declarations, the corresponding Incident Date and the correspond reported date for the last five financial years and for 2023/24 so far

FINANCIAL YEAR (1 April – 31 March)	NUMBER	CORRESPOND STEIS NUMBER / INCIDENT DATE	SI INCIDENT DECLARATION DATE	CORRESPOND REPORTED DATE TO STEIS
18/19	35	Please see exemption below.	16/10/18 6/3/19 12/3/19 21/1/19 31/1/19 31/12/18 13/2/19 17/1/19 17/1/19 17/1/19 21/1/19 10/1/19 3/1/19 30/11/18 9/11/18	16/10/18 6/3/19 13/3/19 21/1/19 31/1/19 3/1/19 14/2/19 21/1/19 18/1/19 17/1/19 29/1/19 11/1/19 3/1/19 30/11/18 9/11/18

			24/9/18 10/9/19 29/11/18 13/11/18 13/11/18 3/10/18 5/9/18 6/9/18 3/9/18 14/8/18 15/5/18 18/9/18 13/8/18 6/9/18 12/7/18 26/4/18 25/4/18 22/5/18 22/5/18 17/5/18	26/9/18 12/9/18 29/11/18 13/11/18 13/11/18 5/10/18 7/9/18 7/9/18 4/9/18 15/8/18 17/5/18 20/9/18 13/8/18 7/9/18 12/7/18 27/4/18 26/4/18 25/5/18 23/5/18 17/5/18
19/20	92	Please see exemption below	5/2/20 16/1/20 2/3/20 28/6/19 5/3/20 27/2/20 28/1/20 28/1/20 26/11/19 5/3/20 27/2/20 13/2/20 13/2/20 5/3/30 6/2/20 13/6/19 13/2/20 5/3/20 12/12/19 18/11/19 16/1/20 13/1/20 14/2/20 5/5/19 28/11/19 31/10/19 16/1/20 19/12/19 19/12/19 12/12/19 5/12/19 28/2/20 3/12/19 31/10/19 14/10/19	5/2/20 20/1/20 4/3/20 28/6/19 5/3/20 28/2/20 28/1/20 29/1/20 28/11/19 6/3/20 28/2/20 14/2/20 14/2/20 5/3/20 7/2/20 14/6/19 14/2/20 5/3/20 12/12/19 19/11/19 7/1/20 30/1/20 14/2/20 6/6/19 2/12/19 31/10/19 16/1/20 20/12/19 20/12/19 12/12/19 5/12/19 28/2/20 3/12/19 31/10/19 21/10/19

			9/1/20	9/1/20
			13/12/19	17/12/19
			11/12/19	12/12/19
			12/12/19	12/12/19
			21/11/19	25/11/19
			31/10/19	31/10/19
			2/10/19	4/10/19
			5/12/19	5/12/19
			5/12/19	5/12/19
			4/12/19	5/12/19
			4/12/19	5/12/19
			4/12/19	5/12/19
			4/12/19	5/12/19
			20/11/19	21/11/19
			20/11/19	21/11/19
			14/11/19	18/11/19
			4/11/19	6/11/19
			4/11/19	6/11/19
			1/11/19	4/11/19
			10/10/19	11/10/19
			10/9/19	11/9/19
			17/10/19	18/10/19
			10/10/19	11/10/19
			12/9/19	16/9/19
			2/10/19	4/10/19
			10/10/19	11/10/19
			13/9/19	13/9/19
			11/10/19	11/10/19
			26/9/19	30/9/19
			26/9/19	30/9/19
			12/9/19	12/9/19
			2/10/19	2/10/19
			3/9/19	3/9/19
			15/8/19	16/8/19
			15/8/19	16/8/19
			1/8/19	5/8/19
			30/8/19	6/9/19
			1/9/19	6/9/19
			13/6/19	14/6/19
			11/7/19	15/7/19
			13/6/19	13/6/19
			11/7/19	11/7/19
			4/7/19	4/7/19
			20/6/19	1/7/19
			27/6/19	28/6/19
			13/6/19	17/6/19
			13/6/19	13/6/19
			30/5/19	3/6/19
			23/5/19	28/5/19
			15/8/19	3/5/19
			2/5/19	19/8/19
			2/5/19	3/5/19
			9/5/19	10/5/19
			4/6/19	5/6/19
			18/4/19	23/4/19

			7/4/19 10/4/19	8/4/19 11/4/19
20/21	93	Please see exemption below	10/9/20 3/12/20 23/12/20 10/3/21 14/1/21 14/12/20 6/8/20 11/2/21 4/3/21 1/9/20 25/3/21 18/1/21 15/7/20 1/2/21 3/3/21 1/9/20 4/3/21 25/3/21 11/9/20 11/3/21 20/1/21 15/9/20 25/2/21 4/2/21 20/1/20 20/1/21 16/11/20 7/1/21 23/12/20 7/12/20 26/11/20 15/10/20 24/9/20 1/9/20 7/1/21 14/1/21 3/12/20 13/8/20 8/10/20 5/10/20 1/3/21 3/12/20 18/2/21 11/1/21 26/11/20 1/10/20 26/11/20 1/10/20 26/11/20 4/1/21 20/9/20 21/10/20	11/9/20 6/12/20 23/12/20 10/3/21 15/1/21 15/12/20 7/8/20 12/2/21 5/3/21 2/9/20 26/3/21 19/1/21 17/7/20 3/2/21 4/3/21 2/9/20 5/3/21 26/3/21 11/9/20 12/3/21 20/1/21 16/9/20 1/3/21 5/2/21 20/1/21 22/1/21 17/11/20 8/1/21 23/12/20 8/12/20 28/11/20 16/10/20 25/9/20 2/9/20 8/1/21 15/1/21 6/12/20 14/8/20 9/10/20 6/10/20 2/3/21 6/12/20 19/2/21 11/1/21 27/11/20 1/10/20 27/11/20 1/9/20 28/11/20 6/1/21 25/9/20 22/10/20

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21/22	81	Please see exemption below	3/3/22 2/2/22 16/11/21 16/11/21 6/12/21 3/3/22 7/2/22 3/2/22 27/5/21 26/8/21 10/1/22 21/1/22 25/2/22 28/2/22 11/11/21	4/3/22 4/2/22 18/11/21 18/11/21 8/12/21 4/3/22 8/2/22 4/2/22 28/5/21 26/8/21 12/1/22 24/1/22 28/2/22 28/2/22 11/11/21

			10/3/22	12/3/22
			1/7/21	2/7/21
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			16/8/21	17/8/21
			27/1/22	27/2/22
			3/9/21	3/9/21
			16/1/22	18/1/22
			16/1/22	18/1/22
			3/12/21	3/12/21
			20/1/22	20/1/22
			9/2/22	9/2/22
			29/10/21	2/11/21
			9/12/21	13/12/21
			29/12/21	30/12/21
			3/3/22	4/3/22
			26/1/22	26/1/22
			20/8/21	23/8/21
			24/6/21	25/6/21
			9/11/21	11/9/21
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			28/4/21	30/4/21
			23/7/21	23/7/21
			2/7/21	2/7/21
			20/9/21	8/10/21
			25/11/21	25/11/21
			29/10/21	2/11/21
			29/4/21	30/4/21
			29/7/21	30/7/21
			29/7/21	30/7/21
			26/8/21	26/8/21
			26/8/21	26/8/21
			15/7/21	16/7/21
			8/7/21	9/7/21
			21/10/21	25/10/21
			12/10/21	13/12/21
			31/1/22	1/2/22
			29/12/21	30/12/21
			1/7/21	2/7/21
			23/9/21	23/9/21
			10/6/21	11/6/21
			6/4/21	7/4/21
			17/8/21	17/8/21
			12/8/21	13/8/21
			21/7/21	23/7/21
			12/8/21	13/8/21
			24/6/21	25/6/21
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			17/6/21	17/6/21
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22/23	93	Please see exemption below	27/3/23 6/1/23 6/1/23 6/1/23 22/2/23 13/1/23 2/3/23 27/3/23 27/2/23 27/3/23 13/1/23 10/2/23 2/2/23 13/1/23 22/9/22 6/1/23 23/3/23 10/1/23 16/12/22 24/11/22 10/11/22 10/11/22 27/10/22 14/7/22 31/3/22 27/6/22 2/2/23 7/3/23 7/2/23 31/1/23 26/1/23 3/3/23 3/11/22 26/1/23 19/10/22 10/11/22 27/10/22 7/10/22 6/1/23 2/12/22 27/10/22 26/8/22	29/3/23 10/1/23 10/1/23 10/1/23 22/2/23 18/1/23 7/3/23 28/3/23 8/3/23 29/3/23 17/1/23 10/2/23 2/2/23 18/1/23 26/9/22 10/1/23 23/3/23 10/1/23 19/12/22 28/11/22 10/11/22 10/11/22 2/11/22 18/7/22 3/7/22 27/26/22 3/2/23 8/3/23 9/2/23 3/2/23 30/1/23 8/3/23 8/11/22 31/1/23 20/10/22 15/11/22 2/11/22 1/11/22 10/1/23 2/12/22 2/11/22 26/8/22

			23/6/22 6/1/23 6/1/23 1/12/22 6/1/23 10/11/22 12/5/22 29/9/22 13/10/22 29/6/22 9/12/22 9/8/22 3/11/22 6/12/23 15/11/22 13/6/22 7/11/22 10/11/22 8/11/22 5/8/22 29/5/22 26/5/22 27/9/22 13/9/22 22/7/22 26/5/22 7/7/22 7/7/22 31/3/22 23/6/22 5/6/22 24/11/22 9/9/22 1/8/22 28/7/22 25/7/22 3/7/22 20/9/22 20/9/22 13/10/22 11/7/22 31/3/22 23/6/22 22/6/22 7/4/22 28/2/22 28/2/22 14/7/22 22/4/22 14/4/22 28/4/22	24/6/22 10/1/23 10/1/23 1/12/22 6/1/23 14/11/22 16/5/22 29/9/22 14/10/22 29/6/22 13/12/22 12/8/22 8/11/22 9/1/23 15/11/22 13/6/22 8/11/22 15/11/22 13/11/22 5/8/22 31/5/22 30/5/22 27/9/22 13/9/22 22/7/22 26/5/22 11/7/22 11/7/22 3/4/22 24/6/22 3/7/22 25/11/22 9/9/22 1/8/22 29/7/22 25/7/22 3/7/22 20/9/22 20/9/22 14/10/22 11/7/22 3/4/22 24/6/22 22/6/22 7/4/22 3/7/22 2/7/22 18/7/22 22/4/22 15/4/22 29/4/22
1 April 23 – 30 Oct 23	55	Please see exemption below	27/7/23 25/4/23 25/8/22	28/7/23 26/4/23 25/8/22

			11/8/23 8/6/23 4/5/23 13/7/23 28/9/23 27/7/23 29/6/23 27/4/23 6/7/23 6/10/23 9/10/23 22/6/23 5/9/23 8/6/23 5/10/23 6/7/23 5/10/23 13/7/23 25/5/23 11/8/23 28/9/23 30/8/23 28/9/23 30/8/23 28/9/23 29/9/23 29/9/23 29/9/23 29/9/23 16/6/23 5/4/23 29/6/23 5/7/23 27/7/23 19/5/23 21/9/23 14/9/23 7/9/23 10/8/23 14/8/23 8/6/23 12/5/23 6/4/23 17/8/23 17/8/23 12/5/23 28/6/23 13/7/23 13/7/23 13/7/23 31/3/23 6/7/23 23/6/23 25/5/23 6/4/23	11/8/23 12/6/23 5/5/23 14/7/23 2/10/23 27/7/23 30/6/23 27/4/23 6/7/23 10/10/23 10/10/23 23/6/23 9/10/23 12/6/23 5/10/23 6/7/23 5/10/23 13/7/23 25/5/23 11/8/23 3/10/23 1/9/23 2/10/23 1/9/23 2/10/23 29/9/23 29/9/23 29/9/23 29/9/23 19/6/23 6/4/23 30/6/23 6/7/23 27/7/23 19/5/23 21/9/23 18/9/23 8/9/23 13/8/23 29/8/23 8/6/23 16/5/23 13/4/23 18/8/23 18/8/23 16/5/23 3/5/23 14/7/23 13/7/23 13/7/23 3/4/23 10/7/23 23/6/23 25/5/23 13/4/23
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Section 21 - Information accessible to applicant by other means.

(1) Information which is reasonably accessible to the applicant otherwise than under section 1 is exempt information.

(2) For the purposes of subsection (1)—

(a) information may be reasonably accessible to the applicant even though it is accessible only on payment, and

(b) information is to be taken to be reasonably accessible to the applicant if it is information which the public authority or any other person is obliged by or under any enactment to communicate (otherwise than by making the information available for inspection) to members of the public on request, whether free of charge or on payment.

(3) For the purposes of subsection (1), information which is held by a public authority and does not fall within subsection (2)(b) is not to be regarded as reasonably accessible to the applicant merely because the information is available from the public authority itself on request, unless the information is made available in accordance with the authority's publication scheme and any payment required is specified in, or determined in accordance with, the scheme.

3) The number of de-escalations (Serious Incidents that have been declared and then agreed not a serious incident with commissioners) for the last five financial years and for 2023/24 so far - by month and year and type

MONTH & YEAR	NUMBER	TYPE
18/19	0	n/a
19/20	<5	Pressure Ulcer approved Environmental Incident approved
20/21	0	n/a
21/22	<5	Pressure Ulcer approved
22/23	<5	Surgical Invasive Procedure
23/24	0	n/a

Refusal Notice: Sec 40(2) Personal Information

The Trust has a policy of not releasing information when the data involved is less than 5. This is because we feel that such low numbers could make the individuals involved identifiable and therefore may cause undue harm and distress.

To disclose this information would:

- a) Contravene the Data Protection Act principles in that it would amount to unfair and possibly unlawful processing, as there was a legitimate expectation by the third parties that this information would remain confidential, and

Disclosure may cause damage or distress to the individual(s) involved, and that damage or distress would be unwarranted (section 10 of the DPA).

4) The number of reported patient safety incidents for the last five financial years and for 2023/24 so far – by month and year, type and harm level

MONTH & YEAR **Patient Safety Incidents (1 April to 31 March)	NUMBER	TYPE LEVEL OF HARM MONTH & YEAR (Excel Document attached showing Month & Year)
18/19	9005	Please see attached spreadsheet
19/20	9471	Please see attached spreadsheet
20/21	10585	Please see attached spreadsheet
21/22	12357	Please see attached spreadsheet
22/23	17323	Please see attached spreadsheet
23/24	8760	Please see attached spreadsheet

Refusal Notice: Sec 40(2) Personal Information

The Trust has a policy of not releasing information when the data involved is less than 5. This is because we feel that such low numbers could make the individuals involved identifiable and therefore may cause undue harm and distress.

To disclose this information would:

- b) Contravene the Data Protection Act principles in that it would amount to unfair and possibly unlawful processing, as there was a legitimate expectation by the third parties that this information would remain confidential, and

Disclosure may cause damage or distress to the individual(s) involved, and that damage or distress would be unwarranted (section 10 of the DPA).

5) The number of other reported incidents for the last five financial years and for 2023/24 so far - by month and year, type and harm level

MONTH & YEAR *All other Incidents* (1 April to 31 March)	NUMBER	TYPE LEVEL OF HARM MONTH & YEAR (Excel Document attached showing Month & Year)
18/19	2232	Please see attached spreadsheet
19/20	2425	Please see attached spreadsheet
20/21	1727	Please see attached spreadsheet
21/22	2177	Please see attached spreadsheet
22/23	2452	Please see attached spreadsheet
23/24	1488	Please see attached spreadsheet

Refusal Notice: Sec 40(2) Personal Information

The Trust has a policy of not releasing information when the data involved is less than 5. This is because we feel that such low numbers could make the individuals involved identifiable and therefore may cause undue harm and distress.

To disclose this information would:

- c) Contravene the Data Protection Act principles in that it would amount to unfair and possibly unlawful processing, as there was a legitimate expectation by the third parties that this information would remain confidential, and

Disclosure may cause damage or distress to the individual(s) involved, and that damage or distress would be unwarranted (section 10 of the DPA).

6) The Trusts incident reporting systems such as DatixWeb, DatixCloud, In Phase, radar, Ulysses or other (please name)

DatixWEB

7) Number of beds (base number) in the Trust

The Trusts bed base figures are publicly available here:

<https://www.england.nhs.uk/statistics/statistical-work-areas/bed-availability-and-occupancy/bed-data-overnight/>

Section 21 - Information accessible to applicant by other means.

(1) Information which is reasonably accessible to the applicant otherwise than under section 1 is exempt information.

(2) For the purposes of subsection (1)—

(a) information may be reasonably accessible to the applicant even though it is accessible only on payment, and

(b) information is to be taken to be reasonably accessible to the applicant if it is information which the public authority or any other person is obliged by or under any enactment to communicate (otherwise than by making the information available for inspection) to members of the public on request, whether free of charge or on payment.

(3) For the purposes of subsection (1), information which is held by a public authority and does not fall within subsection (2)(b) is not to be regarded as reasonably accessible to the applicant merely because the information is available from the public authority itself on request, unless the information is made available in accordance with the authority's publication scheme and any payment required is specified in, or determined in accordance with, the scheme.